

From: Maria Theresa D. Mabuyo <mtdmabuyo+canned.response@sec.gov.ph>
Sent: Thursday, November 26, 2020 8:44 AM
To: lita.e@metroalliance.com
Subject: Re: MAHEC GIS 2020

Dear Customer,

SUCCESSFULLY ACCEPTED

(subject to verification and review of form and content of the attached document)

This serves as acknowledgement confirmation. Please print and submit together with the hard copies of the document.

Note: If the email is received on a Saturday, Sunday or holiday (non-working day) the receipt date shall be on the next working day.

Thank you.
SEC ICTD

From: Ma. Lorelei M. Liwanag <mlmliwanag@sec.gov.ph>
Sent: Thursday, November 26, 2020 8:44 AM
To: lita.e@metroalliance.com
Subject: Acknowledgement Notice Re: MAHEC GIS 2020

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Thank you.
SEC ICTD

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: METRO ALLIANCE HOLDINGS & EQUITIES CORP.		DATE REGISTERED: 10/15/1929
BUSINESS/TRADE NAME: MAH		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: 296		
DATE OF ANNUAL MEETING PER BY-LAWS: ANY BUSINESS DAY OF MAY		CORPORATE TAX IDENTIFICATION NUMBER (TIN) 000-130-411-000
ACTUAL DATE OF ANNUAL MEETING: 29th of October 2020		WEBSITE/URL ADDRESS: www.metroalliance.com
COMPLETE PRINCIPAL OFFICE ADDRESS: 35th Floor One Corporate Centre, Julia Vargas Ave.Cor. Meralco Ave, Ortigas Center, Pasig City		E-MAIL ADDRESS: lita.e@metrolliance.com
COMPLETE BUSINESS ADDRESS: 35th Floor One Corporate Centre, Julia Vargas Ave.Cor. Meralco Ave, Ortigas Center, Pasig City		FAX NUMBER: 8706-5982
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: VALDES ABAD & ASSOCIATES, CPAS		SEC ACCREDITATION NUMBER (if applicable): 0-361-F
		TELEPHONE NUMBER(S): (632) 8706-7888
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: To invest in, purchase, acquire, own hold, use, sell, assign, transfer, mortgage, pledge, exchange or otherwise dispose real and personal porperties of every kind, nature and description	INDUSTRY CLASSIFICATION: HOLDING/INVESTMENT COMPANY	GEOGRAPHICAL CODE: N/A

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
METRO ALLIANCE HOLDINGS & EQUITIES CORP.	11790	35th Floor One Corporate Centre, Julia Vargas Ave.Cor. Meralco Ave, Ortigas Center, Pasig City
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
Consumer Products Distribution Services Inc (CPDSI)	AS093-8998	35th Floor One Corporate Centre, Julia Vargas Ave.Cor. Meralco Ave, Ortigas Center, Pasig City
Zuellig Distribution Inc (ZDI)	129254	Manalac Ave., Taguig, Metro Manila
Metro Combined Logistics Solutions Inc	A199814271	Unit 2002, 20th Flr Antel Corp, 121 Valero St Makati City
Asia Healthcare, Inc.	000PW-577	35th Floor One Corporate Centre, Julia Vargas Ave.Cor. Meralco Ave, Ortigas Center, Pasig City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

METRO ALLIANCE HOLDINGS & EQUITIES CORP.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

☐ Yes ☒ No

Please check the appropriate box:

1.

- ☐ a. Banks
☐ b. Offshore Banking Units
☐ c. Quasi-Banks
☐ d. Trust Entities
☐ e. Non-Stock Savings and Loan Associations
☐ f. Pawnshops
☐ g. Foreign Exchange Dealers
☐ h. Money Changers
☐ i. Remittance Agents
☐ j. Electronic Money Issuers
☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
☐ b. Insurance Agents
☐ c. Insurance Brokers
☐ d. Professional Reinsurers
☐ e. Reinsurance Brokers
☒ f. Holding Companies
☐ g. Holding Company Systems
☐ h. Pre-need Companies
☐ i. Mutual Benefit Association
☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
☐ b. Securities Brokers
☐ c. Securities Salesman

☐ d. Investment Houses
☐ e. Investment Agents and Consultants
☐ f. Trading Advisors
☐ g. Other entities managing Securities or rendering similar services
☐ h. Mutual Funds or Open-end Investment Companies
☐ i. Close-end Investment Companies
☐ j. Common Trust Funds or Issuers and other similar entities
☐ k. Transfer Companies and other similar entities
☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
☐ m. Entities administering or otherwise dealing in valuable objects
☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons

☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
☐ b. management of bank, savings or securities accounts
☐ c. organization of contributions for the creation, operation or management of companies
☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the above

Describe
nature of
business:

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☐ Yes ☒ No

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		METRO ALLIANCE HOLDINGS & EQUITIES CORP.						
CAPITAL STRUCTURE								
AUTHORIZED CAPITAL STOCK								
		TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP) (No. of shares X Par/Stated Value)		
		COMMON						
		CLASS A	720,000,000	1.00		720,000,000.00		
		CLASS B	480,000,000	1.00		480,000,000.00		
TOTAL			1,200,000,000	TOTAL P		1,200,000,000.00		
SUBSCRIBED CAPITAL								
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
		COMMON						
	610	CLASS A	183,670,970	96,029,306	1.00	183,670,970.00	60.00%	
	286	CLASS B	61,836,222	33,821,913	1.00	61,836,222.00	20.20%	
TOTAL			245,507,192	TOTAL	TOTAL P	245,507,192.00	80.20%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
		COMMON						
AMERICAN	1	CLASS A	2,500	2,500	1.00	2,500.00	0.00%	
AMERICAN	69	CLASS B	1,558,518	1,558,518	1.00	1,558,518.00	0.51%	
CHINESE	18	CLASS B	191,113	191,113	1.00	191,113.00	0.06%	
OTHERS	17	CLASS B	58,863,126	58,863,126	1.00	58,863,126.00	19.23%	
Percentage of Foreign Equity :			TOTAL	60,615,257	TOTAL	TOTAL P	60,615,257.00	19.80%
TOTAL SUBSCRIBED						P	306,122,449.00	
PAID-UP CAPITAL								
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP)	% OF OWNERSHIP	
		COMMON						
	610	CLASS A	183,670,970	1.00		183,670,970.00		
	286	CLASS B	61,836,222	1.00		61,836,222.00		
TOTAL			245,507,192	TOTAL P		245,507,192.00	80.20%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP)	% OF OWNERSHIP	
		COMMON						
AMERICAN	1	CLASS A	2,500	1.00		2,500.00		
AMERICAN	69	CLASS B	1,558,518	1.00		1,558,518.00		
CHINESE	18	CLASS B	191,113	1.00		191,113.00		
OTHERS	17	CLASS B	58,863,126	1.00		58,863,126.00		
TOTAL			60,615,257	TOTAL P		60,615,257.00	19.80%	
				TOTAL PAID-UP P		306,122,449.00		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: METRO ALLIANCE HOLDINGS & EQUITIES CORP.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. ELVIRA A. TING	Filipino	N	C	F	Y	Chairman	E/C	
2. KENNETH T. GATCHALIAN	Filipino	N	M	M	Y	President	A/M, C/C	
3. ATTY. LAMBERTO B. MERCADO JR	Filipino	N	M	M	Y	Compliance Officer	E/M, A/M, N/C	
4. RENO I. MAGADIA	Filipino	N	M	M	Y	N/A	C/M	
5. ATTY. NESTOR S. ROMULO	Filipino	N	M	M	Y	Corporate Secretary	E/M, N/M, RM/M, CG/M	
6. ATTY. ARISTEO R. CRUZ	Filipino	N	I	M	Y	Lead Independent Director	A/C, RM/C, N/M, C/M, CG/C	
7. BYOUNG HYUN SUH	Korean	N	I	M	Y	N/A	RM/M	
8. JAMES B. PALIT-ANG	Filipino	N		M	Y	Treasurer		
9. RICHARD L. RICARDO	Filipino	N		M	N	VP-Corporate Affairs		
10. ANNABELLE T. ABUNDA	Filipino	N		F	N	Finance Officer		
11.								
12.								
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: METRO ALLIANCE HOLDINGS & EQUITIES CORP.						
TOTAL NUMBER OF STOCKHOLDERS: 794			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES 760			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:			Php683,226,284			
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
1. PCD NOMINEE CORPORATION FILIPINO	COMMON	73,661,334	73,661,334.00	24.06%	73,661,334.00	
	TOTAL	73,661,334	73,661,334.00			
2. CRESTON GLOBAL LIMITED OTHERS	COMMON	56,378,388	56,378,388.00	18.42%	56,378,388.00	
	TOTAL	56,378,388	56,378,388.00			
3. CHESA HOLDINGS INC. FILIPINO	COMMON	40,500,000	40,500,000.00	13.23%	40,500,000.00	
	TOTAL	40,500,000	40,500,000.00			
4. PACIFIC WIDE REALTY & DEVELOPMENT CO FILIPINO	COMMON	31,498,000	31,498,000.00	10.29%	31,498,000.00	
	TOTAL	31,498,000	31,498,000.00			
5. FORUM HOLDINGS CORPORATION FILIPINO	COMMON	27,875,000	27,875,000.00	9.11%	27,875,000.00	
	TOTAL	27,875,000	27,875,000.00			
6. PACIFIC CONCORDE CORPORATION FILIPINO	COMMON	15,833,408	15,833,408.00	5.17%	15,833,408.00	
	TOTAL	15,833,408	15,833,408.00			
7. REXLON REALTY GROUP, INC. FILIPINO	COMMON	14,873,112	14,873,112.00	4.86%	14,873,112.00	
	TOTAL	14,873,112	14,873,112.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			260,619,242	85.14%	260,619,242.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		METRO ALLIANCE HOLDINGS & EQUITIES CORP.				
TOTAL NUMBER OF STOCKHOLDERS:		794		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		760
TOTAL ASSETS BASED ON LATEST AUDITED FS:		Php683,226,284				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. CHARTERED COMMODITIES CORP. FILIPINO	COMMON	11,296,000	11,296,000.00	3.69%	11,296,000.00	
	TOTAL	11,296,000	11,296,000.00			
9. MIZPAH HOLDINGS, INC. FILIPINO	COMMON	10,128,700	10,128,700.00	3.31%	10,128,700.00	
	TOTAL	10,128,700	10,128,700.00			
10. WILLIAM GATCHALIAN FILIPINO	COMMON	3,572,500	3,572,500.00	1.17%	3,572,500.00	
	TOTAL	3,572,500	3,572,500.00			
11. PACIFIC REHOUSE CORP. FILIPINO	COMMON	2,928,000	2,928,000.00	0.96%	2,928,000.00	
	TOTAL	2,928,000	2,928,000.00			
12. FORUM HOLDINGS CORPORATION FILIPINO	COMMON	1,934,500	1,934,500.00	0.63%	1,934,500.00	
	TOTAL	1,934,500	1,934,500.00			
13. PCD NOMINEE CORPORATION OTHERS	COMMON	1,599,911	1,599,911.00	0.52%	1,599,911.00	
	TOTAL	1,599,911	1,599,911.00			
14. TIN FU OR TRAJANO FILIPINO	COMMON	820,000	820,000.00	0.27%	820,000.00	
	TOTAL	820,000	820,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			32,279,611	10.54%	32,279,611.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		METRO ALLIANCE HOLDINGS & EQUITIES CORP.				
TOTAL NUMBER OF STOCKHOLDERS:		794	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		760	
TOTAL ASSETS BASED ON LATEST AUDITED FS:		Php683,226.284				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. CTBC TA# 5-C184: ZUELLIG CORP. FILIPINO	COMMON	684,829	684,829.00	0.22%	684,829.00	
	TOTAL	684,829	684,829.00			
16. VICTOR GAN SY FILIPINO	COMMON	600,000	600,000.00	0.20%	600,000.00	
	TOTAL	600,000	600,000.00			
17. W. DUMERMUTH OTHERS	COMMON	472,600	472,600.00	0.15%	472,600.00	
	TOTAL	472,600	472,600.00			
18. VICTOR G. SY	COMMON	468,000	468,000.00	0.15%	468,000.00	
	TOTAL	468,000	468,000.00			
19. AB CAPITAL & INVESTMENT CORPORATION FILIPINO	COMMON	430,000	430,000.00	0.14%	430,000.00	
	TOTAL	430,000	430,000.00			
20. MARY ANGUS BROWN AMERICAN	COMMON	309,910	309,910.00	0.10%	309,910.00	
	TOTAL	309,910	309,910.00			
21. OTHERS (Indicate the number of the remaining stockholders)	COMMON	10,258,257	10,258,257.00	3.35%	10,258,257.00	
	TOTAL	10,258,257	10,258,257.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			13,223,596	4.32%	13,223,596.00	
TOTAL AMOUNT OF PAID-UP CAPITAL					306,122,449.00	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME:		METRO ALLIANCE HOLDINGS & EQUITIES CORP.	
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	21,209,285.00	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	366,185,828.00	7/1/2004	
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A	
1.5 OTHERS	N/A	N/A	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A	N/A	N/A	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	N/A	N/A	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N/A	N/A	
5.2 STOCK	N/A	N/A	
5.3 PROPERTY	N/A	N/A	
TOTAL	P N/A	N/A	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
	N/A	N/A	
	N/A	N/A	
	N/A	N/A	
	N/A	N/A	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Certificate of Permit to Offer Securities for Sale	N/A	N/A
DATE ISSUED:	12/8/1947	N/A	N/A
DATE STARTED OPERATIONS:	10/15/1929	N/A	N/A
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
320,000.00	8	2	10

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, NESTOR S. ROMULO, Corporate Secretary of METRO ALLIANCE HOLDINGS AND EQUITIES SECURITIES CORPORATION declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

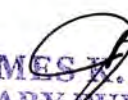
I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this NOV 25 2020 day of NOV 25 2020, 20 in MANDALUYONG CITY.


NESTOR S. ROMULO
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in MANDALUYONG CITY on NOV 25 2020 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Tax Identification Number 107-200-723-000 issued at Bureau of Internal Revenue.


ATTY. JAMES B. ABUGAN
NOTARY PUBLIC
Appt. No. 0442-19
Until Dec 31, 2020
IBP No. 101013 01/03/2020 Rizal Chapter
Roll No. 26890 Lifetime
MCLE No. VI-0012875 Until 4/14/2022
TIN No. 116-239-956
PTR No. 43330065 /01-03-2020
Tel. No. 631-40-90
Mandaluyong City

DOC. NO. 171
PAGE NO. 36
BOOK NO. 171
SIGNED BY mmw

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

CORPORATE NAME:

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Elvira A. Ting		Filipino			0.00	D	B
Kenneth T. Gatchalian		Filipino			0.00	D	B
Atty. Lamberto B. Mercado, Jr		Filipino			0.00	D	B
Reno I. Magadia		Filipino			0.00	D	B
Atty. Nestor S. Romulo		Filipino			0.00	D	B
Atty. Aristeo R. Cruz		Filipino			0.00	D	B
Byoung Hyun Suh		Korean			0.00	D	B

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.

UNDERTAKING

I, NESTOR S. ROMULO, of legal age and with office address at Unit 202 20th Flr. Antel 2000 Corporate Center, 121 Valero St., Salcedo Village, Makati City, Philippines, after having duly sworn to in accordance with law, do hereby depose and state:

1. I am the incumbent Corporate Secretary of Metro Alliance Holdings & Equities Corporation (MAHEC) with office address 35th Flr., One Corporate Center, Dona Julia Vargas cor. Meralco Avenue, Ortigas Center, Pasig City;
2. MAHEC is still in the process of obtaining the Tax Identification Number (TIN) of its stockholders through updating by its Stock Transfer Agent, for this reason, on behalf of MAHEC, I hereby undertake to submit the TIN once it is secured by the Stock Transfer Agent;
3. I am executing this affidavit to attest to the truth of the foregoing facts and in accordance with the requirements of the Securities and Exchange Commission.

AFFIANT FURTHER SAYETH NAUGHT.

IN WITNESS WHEREOF, I have hereunto set my hand this NOV 25 2020
MANDALUYONG City


NESTOR S. ROMULO
Corporate Secretary

Republic of the Philippines)
Pasig City, Metro Manila) S.S.

SUBSCRIBED AND SWORN TO before me this NOV 25 2020 day of 2020 at
MANDALUYONG City, Philippines, affiant exhibited to me his TIN with No. _____.

Docs. No. 172;
Page No. 30;
Book No. 100;
Series of 2020.

ATTY. JAMES R. ABUGAN
NOTARY PUBLIC
Appl. No. 0442-19
Until Dec 31, 2020
IBP No. 101013 01/03/2020 Rizal Chapter
Roll No. 26870 Lifetime
MCLE No. VI-0012575 Until 4/14/2022
TIN No. 116-239-956
PTR No. 43330045 /01-03-2020
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Mandaluyong City